



Series 3 Exam Flashcard Study System: Series 3 Test Practice Questions & Review for the National Commodity Futures Examination (Cards)

Series 3 Exam Secrets Test Prep Team

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- General Theory
- The Futures Contract
- The Structure of Futures Markets
- Hedging Theory
- Speculative Theory
- General Futures Terminology

The Futures Margins, Option Premiums, Price Limits, Futures Settlements, Delivery, Exercise, and Assignment section covers:

- Margin requirements
- Option premiums
- Price limits
- Offsetting contracts, settlements, delivery
- Options exercise, assignment, settlement

The Types of Orders, Customer Accounts, Price Analysis section covers:

- Basic characteristics and uses of orders
- Additional orders
- Technical price analysis
- Interest rate analysis

The Basic Hedging, Basis Calculations, Hedging Futures section covers:

- Short hedging and long hedging
- The Basis
- Hedging Calculations

The Spreading section covers:

- Spread Trading

- Common Types of Spreads

The Speculating in Futures section covers:

- Profit/Loss Calculations for Speculative Trades
- Trading Applications

The Option Hedging, Speculating, Spreading section covers:

- Option Theory
- Option Hedge Strategies / Calculations
- Option Speculative Strategies / Calculations
- Option Spread Strategies / Calculations

The Regulations section covers:

- General Regulations
- FCM/IB Regulations
- CPO/CTA
- Arbitration Procedures
- NFA Disciplinary Procedures
- CFTC Commodity Exchange Act Enforcement

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