



# **Series 6 Exam Secrets Study Guide: Series 6 Test Review for the Investment Company Products/Variable Contracts Limited Representative Qualification Exam**

*Series 6 Exam Secrets Test Prep Team*

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**\*\*\*Includes Practice Test Questions\*\*\***

**Get the test prep help you need to become a registered representative (RR).**

The Series 6 Exam is extremely challenging and thorough test preparation is essential for success. *Series 6 Exam Secrets Study Guide* is the ideal prep solution for anyone who wants to pass the Series 6 Exam.

Not only does it provide a comprehensive guide to the Series 6 Exam as a whole, it also provides practice test questions as well as detailed explanations of each answer.

*Series 6 Exam Secrets Study Guide* includes:

- A thorough and detailed overview of the series 6 exam
- An examination of securities markets, investment securities, and economic factors
- A breakdown of securities and tax regulation
- An in-depth look at marketing, prospecting and sales presentation
- A guide to the evaluation of customers
- An extensive review of product information; investment company securities and variable contracts
- An analysis of opening and servicing customer accounts
- Comprehensive practice questions with detailed answer explanations

It's filled with the critical information you'll need in order to do well on the test the concepts, procedures, principles, and vocabulary that the Financial Industry Regulatory Authority (FINRA) expects you to have mastered before sitting for the exam.

**The Securities Markets, Investment Securities, and Economic Factors section covers:**

- Over-the-counter/negotiated market
- Impact of increase in market interest rates
- Fiscal policy

**The Securities and Tax Regulation section covers:**

- Security
- Investment advisers act of 1940
- NASD membership, registration, and conduct rule
- IRA

**The Marketing, Prospecting and Sales Presentation section covers:**

- Prospectus information

- NASD rules

**The Evaluation of Customers section covers:**

- Investment risk
- Determining a suitable investment recommendation
- Investment objectives

**The Product Information; Investment Company Securities and Variable Contracts section covers:**

- Diversified and non-diversified mutual funds
- Open-end investment company
- Benefits of breakpoints, rights of accumulation, and letters intent
- Fixed and variable annuities
- Variable life insurance

**The Opening and Servicing Customer Accounts section covers:**

- General requirements
- Bank secrecy act
- FINRA rules
- NASD rules

These sections are full of specific and detailed information that will be key to passing the Series 6 Exam. Concepts and principles aren't simply named or described in passing, but are explained in detail. The guide is laid out in a logical and organized fashion so that one section naturally flows from the one preceding it. Because it's written with an eye for both technical accuracy and accessibility, you will not have to worry about getting lost in dense academic language.

Any test prep guide is only as good as its practice questions and answers, and that's another area where our guide stands out. Our test designers have provided scores of test questions that will prepare you for what to expect on the actual Series 6 Exam. Each answer is explained in depth, in order to make the principles and reasoning behind it crystal clear.

We've helped thousands of people pass standardized tests and achieve their education and career goals. We've done this by setting high standards for our test preparation guides, and our *Series 6 Exam Secrets Study Guide* is no exception. It's an excellent investment in your future.

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#### **Virginia Gauvin:**

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